

Risk Ref	Risk Owner	Risk Manager	Description	Management Assurance	Corporate Functions and Third Party Assurance	Internal Audit	Gaps	Comments
STR0001	Charles.Warboys	Charles.Warboys	<p>Financial pressures due to Central Government cuts, or loss of grant or other funding (e.g Council Tax Support, NNDR, New Homes Bonus).</p> <p>CBC has to identify: - £11m worth of savings in 2012/13. However, we may not be able to achieve a breakeven budget.</p>	<p>Monthly budget review process</p> <p>Ensure build up of reserves to act as a short term buffer.-</p> <p>Budget monitoring through SAP</p>	<p>External Audit vfm conclusion</p> <p>External Audit Grant certification work</p> <p>Networking Groups</p> <p>Updates from professional groups e.g LGA</p> <p>Monthly meetings of EIG (Efficiencies Implementation Group) to review progress of proposed savings</p> <p>Statutory responsibility to ensure appropriate levels of reserves maintained.</p> <p>Budget setting process.</p> <p>Quarterly Scrutiny Reports.</p>	<p>Internal Audit Managed Audit reviews.</p> <p>Targeted Internal Audit reviews e.g Budget Management audit</p>	None identified	
STR0002	Julie Ogley	Julie Ogley	<p>Adult Social Care: There is a risk that we fail to improve Adult Social Care performance and fail to improve safeguarding practice.</p>	<p>Case file audit of all investigations</p> <p>Safeguarding policies and procedures in place</p> <p>Monthly Performance Board with DMT.</p> <p>Monthly Performance briefing with Members.</p> <p>Directorate risk register (reviewed regularly)</p>	<p>Safeguarding Board in place.</p> <p>Development and implementation of improvement plan from peer review.</p> <p>Quarterly Scrutiny Reports.</p> <p>Statistical returns</p> <p>Benchmarking: - Performance -Benchmarking Clubs</p> <p>Peer reviews</p> <p>LGA "Towards Excellence in Adult Social Care" Board.</p> <p>Networking Groups Association of Directors of Adult Social Care (ADAS)</p> <p>Care Quality Commission (CQC)</p> <p>Programme Board Governance.</p>	<p>Internal Audit establishment reviews</p> <p>Targeted Internal Audit reviews e.g. Domiciliary Care Contract Audit</p>	None identified	